

# Union and Intersection Types for Secure Protocol Implementations

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# A little bit of background

# Analyzing protocol implementations

- Recently several approaches proposed
  - **static analysis:**  
CSur [Goubault-Larrecq and Parrennes, VMCAI'05]
  - **software model checking:**  
ASPIER [Chaki & Datta, CSF '09]
  - **extracting ProVerif models:**  
fs2pv [Bhargavan, Fournet, Gordon & Tse, CSF '06]
    - for TLS [Bhargavan, Corin, Fournet & Zalinescu CCS '08]
  - **typing: F7**  
[Bengtson, Bhargavan, Fournet, Gordon & Maffeis, CSF '08]
    - advantages: modularity, scalability, infinite # of sessions, predictable termination behavior, early feedback

# F7v1 type-checker

[Bengtson, Bhargavan, Fournet, Gordon & Maffeis CSF '08]

- Security type-checker for (fragment of) F# (ML)
- Checks compliance with authorization policy
  - FOL used as authorization logic
  - proof obligations discharged using SMT solver (Z3)
- Dual implementation of cryptographic library
  - symbolic (DY model): used for security verification, debugging
  - concrete (real crypto): used in actual deployment
- F# fragment encoded into expressive core calculus (RCF)

# RCF (Refined Concurrent PCF)

- $\lambda$ -calculus + concurrency & channel communication  
in the style of asynchronous  $\pi$ -calculus  
 $(\text{new } c) c!m \mid c? \rightarrow (\text{new } c) m$
- Minimal core calculus
  - as few primitives as possible, everything else encoded  
e.g. ML references encoded using channels
- Expressive type system
  - refinement types  $\text{Pos} = \{x : \text{Nat} \mid x \neq 0\}$
  - dependent pair and function types (pre&post-conditions)  
 $\lambda x.x : (y:\text{Nat} \rightarrow \{z:\text{Nat} \mid z = y\})$   
 $\text{pred} : x:\text{Pos} \rightarrow \{y:\text{Nat} \mid x = \text{fold}(\text{inl } y)\}$
  - iso-recursive and disjoint union types  $\text{Nat} = \mu\alpha.\alpha + \text{unit}$

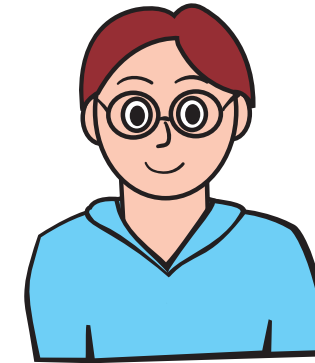
# Security properties (informal)

- **Safety:** in all executions all asserts succeed  
(i.e. asserts are logically entailed by the active assumes)
- **Robust safety:**  
safety in the presence of arbitrary DY attacker
- attacker is a closed assert-free RCF expression
- attacker is Un-typed
  - type  $T$  is public if  $T <: \text{Un}$
  - type  $T$  is tainted if  $\text{Un} <: T$
- Type system ensures that well-typed programs are robustly safe



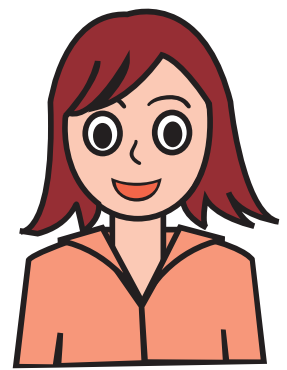
Why wasn't this enough?

# An extremely simple example



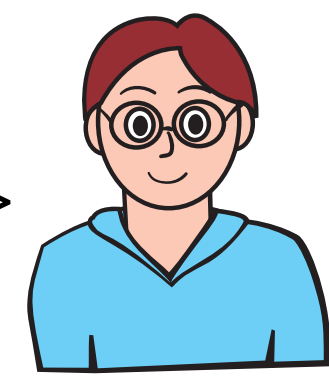


# An extremely simple example



$n : \text{Private}$

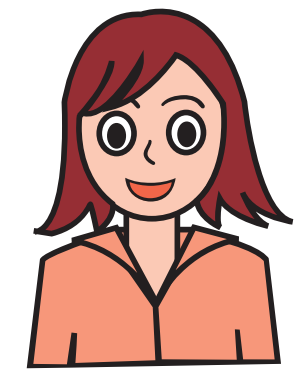
**public key**  
 $pk_B : \text{PK} < \text{Private} >$



$\text{enc} < \text{Private} > pk_B n$

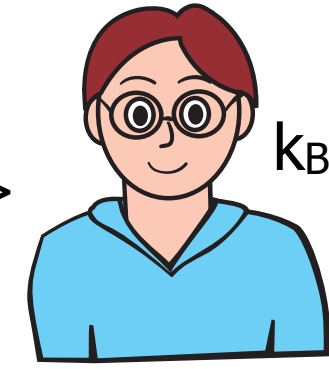


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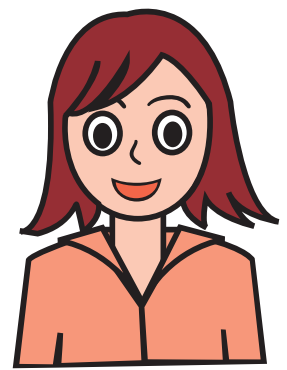
$k_B : \text{DK} \langle \text{Private} \rangle$

$\text{enc} \langle \text{Private} \rangle \text{ } pk_B \text{ } n$



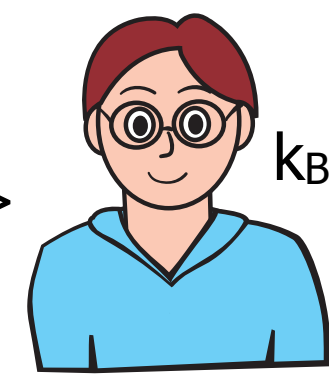
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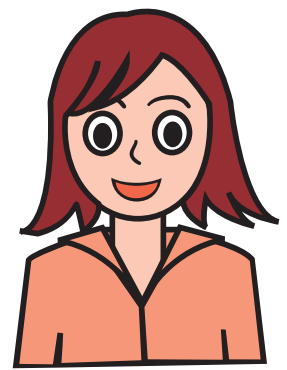
$\text{enc} \langle \text{Un} \rangle \text{ } pk_B \text{ } \text{junk}$



$\text{junk} : \text{Un}$

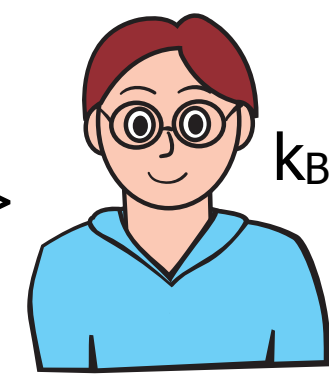


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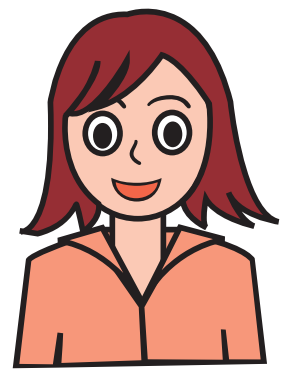
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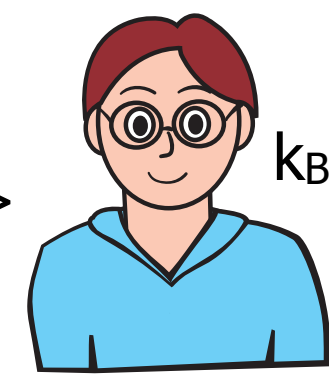


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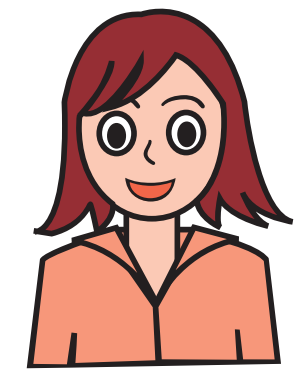
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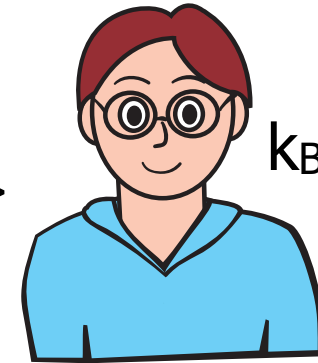
# An extremely simple example



$pk_A : PK\langle T_A \rangle$

$n : Private$

**public** key  
 $pk_B : PK\langle Private \rangle$



$k_B : DK\langle Private \rangle$

$enc\langle Private \rangle_{pk_B} n$



**let**  $x_n = dec\langle Private \rangle_{k_B} m$  **in**  
**assume**  $Auth(m, B, A)$

$enc\langle T_A \rangle_{pk_A} (x_n, m)$      $x_n : Private \vee Un$



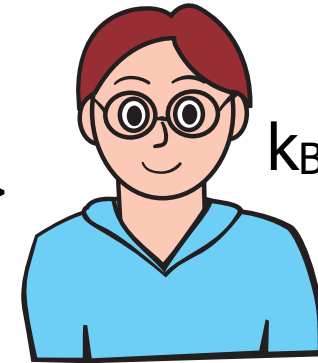
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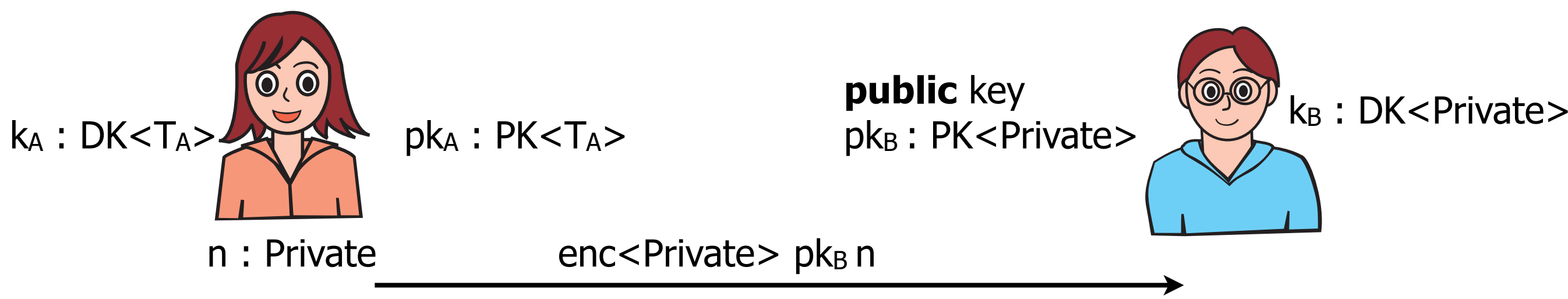
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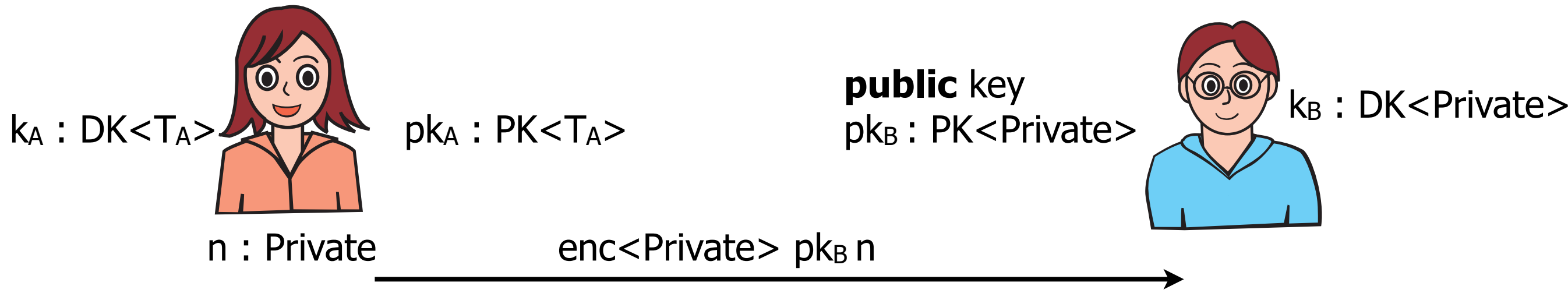
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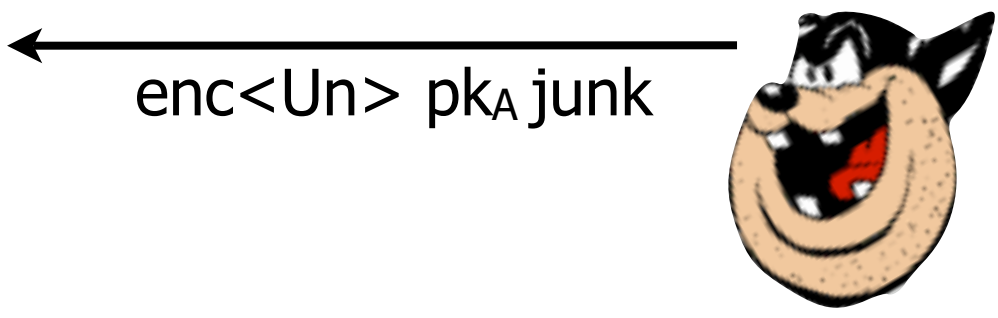
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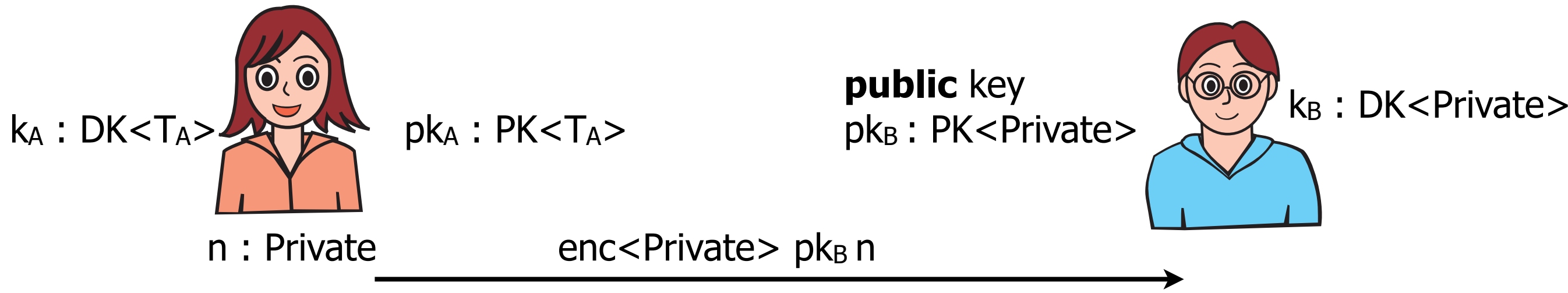
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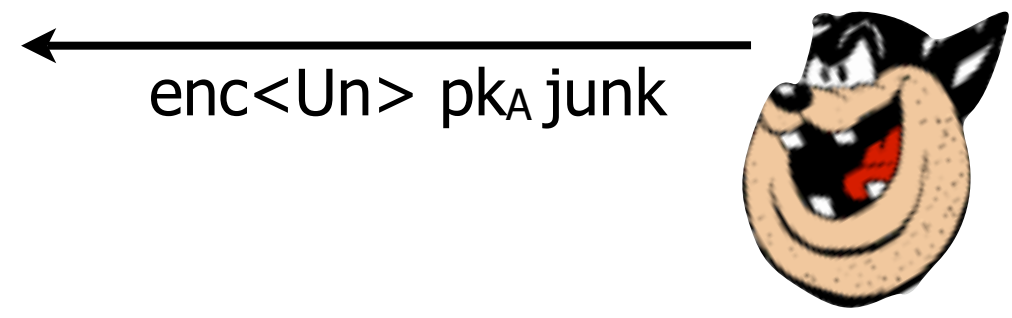


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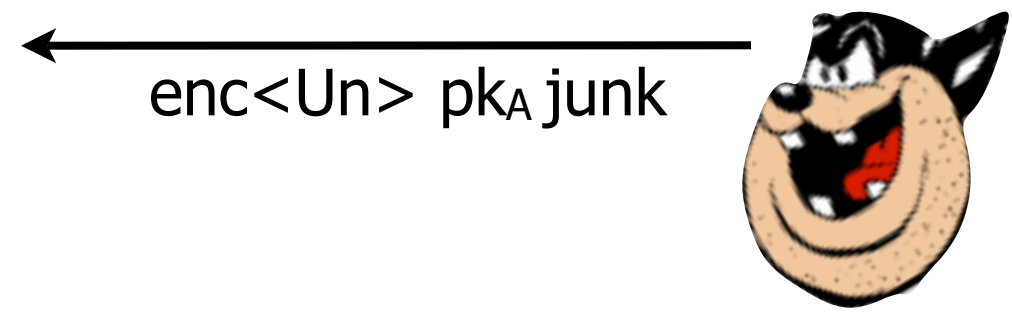
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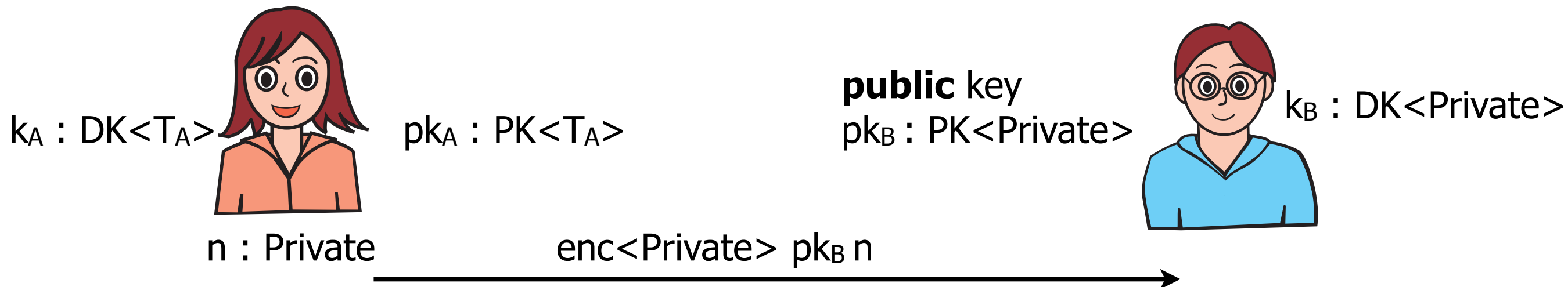
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**Honest sender case:**  $y_m : \{y_m : Un \mid Auth(y_m, B, A)\}$   
**assert succeeds**



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**let**  $x_n = dec<Private> k_B net?$  **in**  
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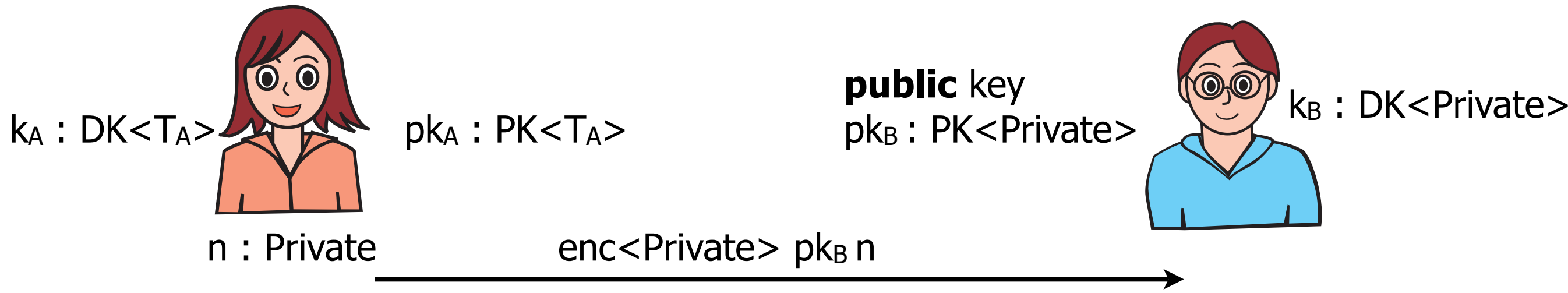
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**Dishonest sender case:**  $y_n : Un, n : Private$   
 $Un \cap Private = \emptyset$  **so assert won't be executed**

$enc<Un> pk_A junk$



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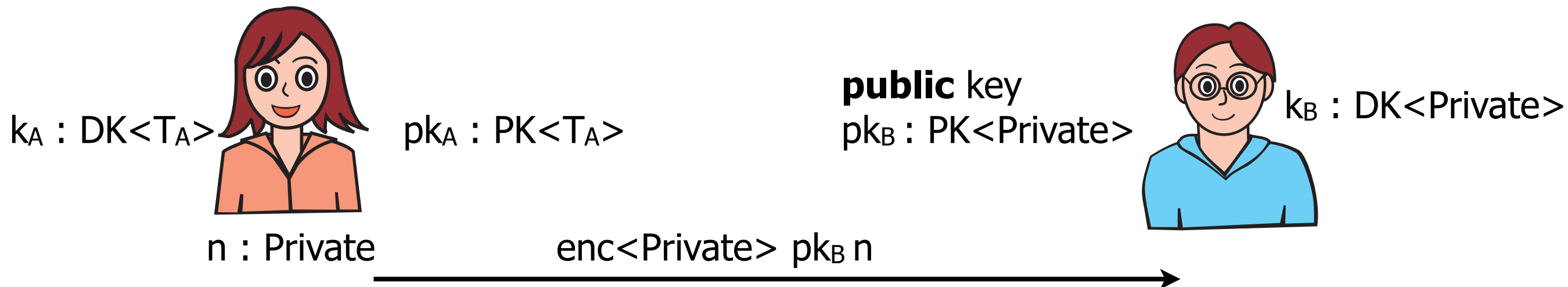
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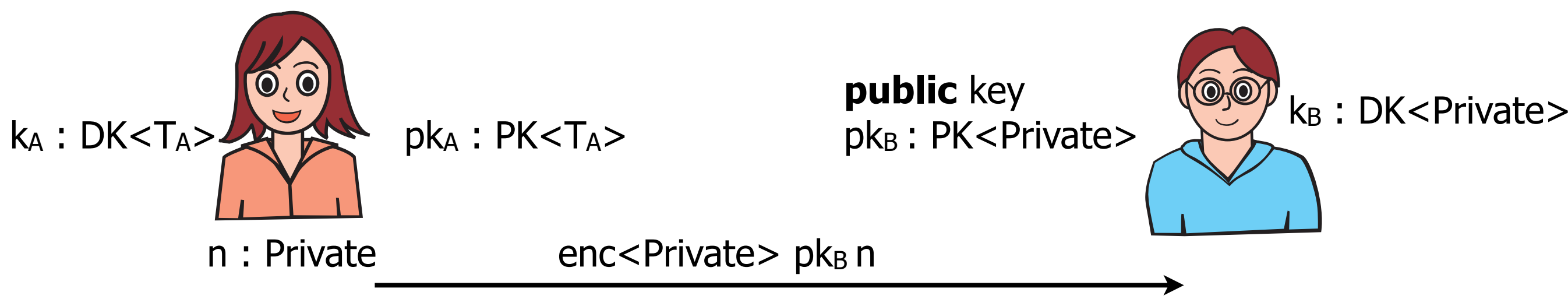
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F7vI can't handle this **X**

# An extremely simple example

simplified variant of Needham-Schroeder-Lowe



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# We propose ...

- a new type-system for verifying protocol implementations
  - combines the refinement types from F7v I/RCF [BBFGM '08] with *union*, *intersection*, and *polymorphic* types ( $\text{RCF}^{\forall \wedge \vee}$ )
  - novel ability: statically reasoning about *disjointness of types*



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- What does this buy us?
  1. successfully type-checking larger class of protocols
    - e.g. authenticity achieved by showing knowledge of secret data (NSL, ZK sign)
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- ✦ Machine-checked soundness proof + cool implementation

# Encoding symbolic cryptography using dynamic seals

# Symbolic cryptography

- RCF doesn't have any primitive for cryptography
  - Instead, crypto primitives can be encoded using **dynamic sealing** [Morris, CACM '73]
  - Advantage: adding new crypto primitives doesn't change RCF calculus, or type system, or any proof
  - Nice idea that (to a certain extent) works for: symmetric and PK encryption, signatures, hashes, MACs
  - Dynamic sealing not primitive either
    - encoded using references, lists, pairs and functions
- $$\text{Seal}\langle\alpha\rangle = (\alpha \rightarrow \text{Un}) * (\text{Un} \rightarrow \alpha)$$
- $$\text{mkSeal} : \forall\alpha. \text{unit} \rightarrow \text{Seal}\langle\alpha\rangle$$

# Symmetric encryption

$$\text{Key}\langle\alpha\rangle = \text{Seal}\langle\alpha\rangle = (\alpha \rightarrow \text{Un}) * (\text{Un} \rightarrow \alpha)$$
$$\text{mkKey} = \Lambda\alpha.\text{mkSeal}\langle\alpha\rangle$$
$$\text{senc} = \Lambda\alpha.\lambda k:\text{Key}\langle\alpha\rangle.\lambda m:\alpha. (\text{fst } k) m \quad : \forall\alpha.\text{Key}\langle\alpha\rangle \rightarrow \alpha \rightarrow \text{Un}$$
$$\text{sdec} = \Lambda\alpha.\lambda k:\text{Key}\langle\alpha\rangle.\lambda n:\text{Un}. (\text{snd } k) n \quad : \forall\alpha.\text{Key}\langle\alpha\rangle \rightarrow \text{Un} \rightarrow \alpha$$

- Dynamic sealing directly corresponds to sym. encryption
  - First observed by [Sumii & Pierce, '03 & '07]

# “Public”-key encryption

$$DK\langle\alpha\rangle = \text{Seal}\langle\alpha\rangle = (\alpha \rightarrow \text{Un}) * (\text{Un} \rightarrow \alpha)$$

$$PK\langle\alpha\rangle = \alpha \rightarrow \text{Un}$$

$$\text{mkDK} = \Lambda\alpha.\text{mkSeal}\langle\alpha\rangle \quad : \forall\alpha.\text{unit} \rightarrow DK\langle\alpha\rangle$$

$$\text{mkPK} = \Lambda\alpha.\lambda dk:DK\langle\alpha\rangle.\text{fst } dk \quad : \forall\alpha.DK\langle\alpha\rangle \rightarrow PK\langle\alpha\rangle$$

$$\text{enc} = \Lambda\alpha.\lambda pk:PK\langle\alpha\rangle.\lambda m:\alpha.\text{pk } m \quad : \forall\alpha.PK\langle\alpha\rangle \rightarrow \alpha \rightarrow \text{Un}$$

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- A “public” key  $pk: PK\langle\alpha\rangle$  is only public when  $\alpha$  is tainted!
- A function type  $T \rightarrow U$  is public only when
  - return type  $U$  is public  
(otherwise  $\lambda\_:\text{unit}.m_{\text{secret}}$  would be public)
  - argument type  $T$  is tainted  
(otherwise  $\lambda k:\text{Key}\langle\text{Private}\rangle.\text{c}_{\text{pub}}!(\text{senc } k \ m_{\text{secret}})$  is public)



# “Public”-key encryption

$$DK<\alpha> = Seal<\alpha> = (\alpha \rightarrow Un) * (Un \rightarrow \alpha)$$

$$PK<\alpha> = \alpha \rightarrow Un$$

$$mkDK = \Lambda \alpha. mkSeal<\alpha> \quad : \forall \alpha. unit \rightarrow DK<\alpha>$$

$$mkPK = \Lambda \alpha. \lambda dk:DK<\alpha>. fst dk \quad : \forall \alpha. DK<\alpha> \rightarrow PK<\alpha>$$

$$enc = \Lambda \alpha. \lambda pk:PK<\alpha>. \lambda m:\alpha. pk m \quad : \forall \alpha. PK<\alpha> \rightarrow \alpha \rightarrow Un$$

$$dec = \Lambda \alpha. \lambda dk:DK<\alpha>. \lambda n:Un. (snd k) n \quad : \forall \alpha. DK<\alpha> \rightarrow Un \rightarrow \alpha$$

- A “public” key  $pk: PK<\alpha>$  is only public when  $\alpha$  is tainted!

- A function

**Remember:**  
 in NSL  $\alpha$  is Private  
 (not public and **not tainted**)  
 $\Rightarrow$  strange attacker model

- return
- (otherwise  $\lambda k:Key<Private>. c_{pub}!(senc k m_{secret})$ )

- argument type  $T$  is tainted  
 (otherwise  $\lambda k:Key<Private>. c_{pub}!(senc k m_{secret})$  is public)

# Public-key encryption - FIXED

$$DK\langle\alpha\rangle = \text{Seal}\langle\alpha \vee Un\rangle = ((\alpha \vee Un) \rightarrow Un) * ((\alpha \vee Un) \rightarrow \alpha)$$

$$PK\langle\alpha\rangle = (\alpha \vee Un) \rightarrow Un$$

$$\text{mkDK} = \Lambda\alpha. \text{mkSeal}\langle\alpha\rangle \quad : \forall\alpha. \text{unit} \rightarrow DK\langle\alpha\rangle$$

$$\text{mkPK} = \Lambda\alpha. \lambda dk:DK\langle\alpha\rangle. \text{fst } dk \quad : \forall\alpha. DK\langle\alpha\rangle \rightarrow PK\langle\alpha\rangle$$

$$\text{enc} = \Lambda\alpha. \lambda pk:PK\langle\alpha\rangle. \lambda m:\alpha. pk \ m \quad : \forall\alpha. PK\langle\alpha\rangle \rightarrow \alpha \rightarrow Un$$

$$\text{dec} = \Lambda\alpha. \lambda dk:DK\langle\alpha\rangle. \lambda n:Un. (\text{snd } k) \ n \quad : \forall\alpha. DK\langle\alpha\rangle \rightarrow Un \rightarrow (\alpha \vee Un)$$

- **Public keys are now always public**
  - A type  $T \vee Un$  is always tainted since  $Un <: T \vee Un$  for all  $T$

# Public-key encryption - FIXED

$$DK<\alpha> = Seal<\alpha \vee Un> = ((\alpha \vee Un) \rightarrow Un) * ((\alpha \vee Un) \rightarrow \alpha)$$

$$PK<\alpha> = (\alpha \vee Un) \rightarrow Un$$

$$mkDK = \Lambda \alpha. mkSeal$$

$$mkPK = \Lambda \alpha. \lambda dk:DK<\alpha>. \dots$$

$$enc = \Lambda \alpha. \lambda pk:PK<\alpha>. \lambda m:\alpha. pk\ m \quad : \forall \alpha. PK<\alpha> \rightarrow \alpha \rightarrow Un$$

$$dec = \Lambda \alpha. \lambda dk:DK<\alpha>. \lambda n:Un. (snd\ k)\ n \quad : \forall \alpha. DK<\alpha> \rightarrow Un \rightarrow (\alpha \vee Un)$$

Union type: sealed values can come from honest participant ( $\alpha$ ) or from the attacker ( $Un$ )

- Public keys are now always public
  - A type  $T \vee Un$  is always tainted since  $Un <: T \vee Un$  for all  $T$

# Public-key encryption - FIXED

$$DK\langle\alpha\rangle = \text{Seal}\langle\alpha \vee \text{Un}\rangle = ((\alpha \vee \text{Un}) \rightarrow \text{Un}) * ((\alpha \vee \text{Un}) \rightarrow \alpha)$$

$$PK\langle\alpha\rangle = (\alpha \vee \text{Un}) \rightarrow \text{Un}$$

$$\text{mkDK} = \Lambda\alpha. \text{mkSeal}\langle\alpha\rangle \quad : \forall\alpha. \text{unit} \rightarrow DK\langle\alpha\rangle$$

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$$\text{enc} = \Lambda\alpha. \lambda pk:PK\langle\alpha\rangle. \lambda m:\alpha. pk \ m \quad : \forall\alpha. PK\langle\alpha\rangle \rightarrow \alpha \rightarrow \text{Un}$$

$$\text{dec} = \Lambda\alpha. \lambda dk:DK\langle\alpha\rangle. \lambda n:\text{Un}. (\text{snd } k) \ n \quad : \forall\alpha. DK\langle\alpha\rangle \rightarrow \text{Un} \rightarrow (\alpha \vee \text{Un})$$

- **Public keys are now always public**
  - A type  $T \vee \text{Un}$  is always tainted since  $\text{Un} <: T \vee \text{Un}$  for all  $T$

# Public-key encryption - FIXED

$$DK\langle\alpha\rangle = \text{Seal}\langle\alpha \vee \text{Un}\rangle = ((\alpha \vee \text{Un}) \rightarrow \text{Un}) * ((\alpha \vee \text{Un}) \rightarrow \alpha)$$

$$PK\langle\alpha\rangle = (\alpha \vee \text{Un}) \rightarrow \text{Un}$$

$$\text{mkDK} = \Lambda\alpha. \text{mkSeal}\langle\alpha\rangle$$

$$\text{mkPK} = \Lambda\alpha. \lambda dk:DK\langle\alpha\rangle. \text{fst } dk$$

$$\text{enc} = \Lambda\alpha. \lambda pk:PK\langle\alpha\rangle. \lambda m:\alpha. pk \ m \quad : \forall\alpha. PK\langle\alpha\rangle \rightarrow \alpha \rightarrow \text{Un}$$

$$\text{dec} = \Lambda\alpha. \lambda dk:DK\langle\alpha\rangle. \lambda n:\text{Un}. (\text{snd } k) \ n \quad : \forall\alpha. DK\langle\alpha\rangle \rightarrow \text{Un} \rightarrow (\alpha \vee \text{Un})$$

Union types introduced  
by subtyping  
 $m:\alpha$  and  $\alpha <: \alpha \vee \text{Un}$

- **Public keys are now always public**
  - A type  $T \vee \text{Un}$  is always tainted since  $\text{Un} <: T \vee \text{Un}$  for all  $T$

# Digital signatures

$SK\langle\alpha\rangle = Seal\langle\alpha\rangle = (\alpha \rightarrow Un) * (Un \rightarrow \alpha)$

$VK\langle\alpha\rangle = Un \rightarrow \alpha$

$mkSK = \Lambda\alpha.mkSeal\langle\alpha\rangle$

$mkVK = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.snd\ sk \quad : \forall\alpha.SK\langle\alpha\rangle \rightarrow VK\langle\alpha\rangle$

$sign = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.\lambda m:\alpha.(fst\ sk)\ m \quad : \forall\alpha.SK\langle\alpha\rangle \rightarrow \alpha \rightarrow Un$

$verify = \Lambda\alpha.\lambda vk:VK\langle\alpha\rangle.\lambda m:Un.\lambda s:Any.$

**let**  $m'=vk\ s$  **in**

**if**  $m'=m$  **then**  $m'$

**else** failwith "bad signature"

$: \forall\alpha.VK\langle\alpha\rangle \rightarrow Un \rightarrow Any \rightarrow \alpha$

# Digital signatures

$$SK\langle\alpha\rangle = \text{Seal}\langle\alpha\rangle = (\alpha \rightarrow \text{Un}) * (\text{Un} \rightarrow \alpha)$$

$$VK\langle\alpha\rangle = \text{Un} \rightarrow \alpha$$

$$\text{mkSK} = \Lambda\alpha.\text{mkSeal}\langle\alpha\rangle$$

$$\text{mkVK} = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.\text{snd } sk \quad : \forall\alpha.SK\langle\alpha\rangle \rightarrow VK\langle\alpha\rangle$$

$$\text{sign} = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.\lambda m:\alpha.(\text{fst } sk) m \quad : \forall\alpha.SK\langle\alpha\rangle \rightarrow \alpha \rightarrow \text{Un}$$

$$\text{verify} = \Lambda\alpha.\lambda vk:VK\langle\alpha\rangle.\lambda m:\text{Un}.\lambda s:\text{Any}.$$

**let**  $m' = vk \ s$  **in**

**if**  $m' = m$  **then**  $m'$

**else** failwith "bad signature"

$: \forall\alpha.VK\langle\alpha\rangle \rightarrow \text{Un} \rightarrow \text{Any} \rightarrow \alpha$

- **Verification key  $vk: VK\langle\alpha\rangle$  is public only when  $\alpha$  is public!**
  - Strange, since verify leaks only one additional bit about  $m$  (i.e. is  $m$  a proper signature of  $n$  or not)

# Digital signatures - FIXED

$$SK\langle\alpha\rangle = (\alpha \rightarrow Un) * VK\langle\alpha\rangle$$

$$VK\langle\alpha\rangle = Un \rightarrow (Any \rightarrow \alpha) \wedge (Un \rightarrow Un)$$

$$mkSK = \dots$$

	$: \forall \alpha. unit \rightarrow SK\langle\alpha\rangle$
$mkVK = \Lambda \alpha. \lambda sk:SK\langle\alpha\rangle. snd\ sk$	$: \forall \alpha. SK\langle\alpha\rangle \rightarrow VK\langle\alpha\rangle$
$sign = \Lambda \alpha. \lambda sk:SK\langle\alpha\rangle. \lambda m:\alpha. (fst\ sk)\ m$	$: \forall \alpha. SK\langle\alpha\rangle \rightarrow \alpha \rightarrow Un$
$verify = \Lambda \alpha. \lambda vk:VK\langle\alpha\rangle. \lambda n:Un. \lambda m:Any. vk\ n\ m$	$: \forall \alpha. VK\langle\alpha\rangle \rightarrow Un \rightarrow Any \rightarrow \alpha$



# Digital signatures - FIXED

$$SK\langle\alpha\rangle = (\alpha \rightarrow Un) * VK\langle\alpha\rangle$$

$$VK\langle\alpha\rangle = Un \rightarrow (Any \rightarrow \alpha) \wedge (Un \rightarrow Un)$$

$$mkSK = \dots$$

Verification keys are always public  
 $T \wedge Un$  is always public since  $T \wedge Un <: Un$

$$\begin{array}{ll}
 & : \forall \alpha. \text{unit} \rightarrow SK\langle\alpha\rangle \\
 mkVK = \Lambda \alpha. \lambda sk:SK\langle\alpha\rangle. \text{snd } sk & : \forall \alpha. SK\langle\alpha\rangle \rightarrow VK\langle\alpha\rangle \\
 sign = \Lambda \alpha. \lambda sk:SK\langle\alpha\rangle. \lambda m:\alpha. (\text{fst } sk) m & : \forall \alpha. SK\langle\alpha\rangle \rightarrow \alpha \rightarrow Un \\
 verify = \Lambda \alpha. \lambda vk:VK\langle\alpha\rangle. \lambda n:Un. \lambda m:Any. vk \ n \ m & : \forall \alpha. VK\langle\alpha\rangle \rightarrow Un \rightarrow Any \rightarrow \alpha
 \end{array}$$

# Digital signatures - FIXED

$SK\langle\alpha\rangle = (\alpha \rightarrow Un) * VK\langle\alpha\rangle$

$VK\langle\alpha\rangle = Un \rightarrow (Any \rightarrow \alpha) \wedge (Un \rightarrow Un)$

$mkSK = \Lambda\alpha.\lambda\_:\text{unit}.$  **let** (s,u) = mkSeal () in

**let** v =  $\lambda n:Un.$   $\lambda m:Any ; Un.$

**if** m = u n **as** z **then** z

**else** failwith "bad signature"

**in** (s, v)

:  $\forall\alpha.\text{unit} \rightarrow SK\langle\alpha\rangle$

$mkVK = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.$  snd sk

:  $\forall\alpha.SK\langle\alpha\rangle \rightarrow VK\langle\alpha\rangle$

$sign = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.\lambda m:\alpha.$  (fst sk) m

:  $\forall\alpha.SK\langle\alpha\rangle \rightarrow \alpha \rightarrow Un$

$verify = \Lambda\alpha.\lambda vk:VK\langle\alpha\rangle.$   $\lambda n:Un.$   $\lambda m:Any.$  vk n m

:  $\forall\alpha.VK\langle\alpha\rangle \rightarrow Un \rightarrow Any \rightarrow \alpha$

# Digital signatures - FIXED

$SK\langle\alpha\rangle = (\alpha \rightarrow Un) * VK\langle\alpha\rangle$

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$mkSK = \Lambda\alpha.\lambda\_:\text{unit}.$  **let** (s,u) = mkSeal () in  
**let** v =  $\lambda n:Un. \lambda m:Any ; Un.$

**if** m = u n **as** z **then** z

**else** failwith "bad signature"

**in** (s, v) :  $\forall\alpha.\text{unit} \rightarrow SK\langle\alpha\rangle$

$mkVK = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle. \text{snd } sk$  :  $\forall\alpha.SK\langle\alpha\rangle \rightarrow VK\langle\alpha\rangle$

$sign = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.\lambda m:\alpha. (\text{fst } sk) m$  :  $\forall\alpha.SK\langle\alpha\rangle \rightarrow \alpha \rightarrow Un$

$verify = \Lambda\alpha.\lambda vk:VK\langle\alpha\rangle. \lambda n:Un. \lambda m:Any. vk\ n\ m$

:  $\forall\alpha.VK\langle\alpha\rangle \rightarrow Un \rightarrow Any \rightarrow \alpha$

Introduces intersection  
of 2 function types

# Digital signatures - FIXED

$SK<\alpha> = (\alpha \rightarrow Un) * VK<\alpha>$

$VK<\alpha> = Un \rightarrow (Any \rightarrow \alpha) \wedge (Un \rightarrow Un)$

$mkSK = \Lambda \alpha. \lambda\_ : unit. \mathbf{let} (s,u) = mkSeal () \mathbf{in}$   
 $\mathbf{let} v = \lambda n : Un. \lambda m : Any ; Un.$   
 $\mathbf{if} m = u n \mathbf{as} z \mathbf{then} z$

Introduces intersection of 2 function types

If  $m : Any, u n : \alpha$   
then  $z : Any \wedge \alpha <: \alpha$

an "bad signature"

$mkVK = \Lambda \alpha. \lambda sk : SK<\alpha>. \lambda n : Un. \lambda m : Any. (fst sk) m$  :  $\forall \alpha. unit \rightarrow SK<\alpha>$   
 $sign = \Lambda \alpha. \lambda sk : SK<\alpha>. \lambda m : \alpha. (fst sk) m$  :  $\forall \alpha. SK<\alpha> \rightarrow VK<\alpha>$   
 $verify = \Lambda \alpha. \lambda vk : VK<\alpha>. \lambda n : Un. \lambda m : Any. vk n m$  :  $\forall \alpha. SK<\alpha> \rightarrow \alpha \rightarrow Un$   
:  $\forall \alpha. VK<\alpha> \rightarrow Un \rightarrow Any \rightarrow \alpha$

# Digital signatures - FIXED

$SK<\alpha> = (\alpha \rightarrow Un) * VK<\alpha>$

$VK<\alpha> = Un \rightarrow (Any \rightarrow \alpha) \wedge (Un \rightarrow Un)$

$mkSK = \Lambda \alpha. \lambda\_ : unit. \mathbf{let} (s,u) = mkSeal () \mathbf{in}$   
 $\mathbf{let} v = \lambda n : Un. \lambda m : Any ; Un.$   
 $\mathbf{if} m = u n \mathbf{as} z \mathbf{then} z$

Introduces intersection of 2 function types

If  $m : Any, u n : \alpha$   
then  $z : Any \wedge \alpha <: \alpha$

If  $m : Un, u n : \alpha$   
then  $z : Un \wedge \alpha <: Un$

$mkVK = \Lambda \alpha. \lambda sk : SK<\alpha>. \lambda m : \alpha. (fst sk) m$

$sign = \Lambda \alpha. \lambda sk : SK<\alpha>. \lambda m : \alpha. (fst sk) m : \forall \alpha. SK<\alpha> \rightarrow \alpha \rightarrow Un$

$verify = \Lambda \alpha. \lambda vk : VK<\alpha>. \lambda n : Un. \lambda m : Any. vk n m$   
 $: \forall \alpha. VK<\alpha> \rightarrow Un \rightarrow Any \rightarrow \alpha$

# Digital signatures - FIXED

$SK\langle\alpha\rangle = (\alpha \rightarrow Un) * VK\langle\alpha\rangle$

$VK\langle\alpha\rangle = Un \rightarrow (Any \rightarrow \alpha) \wedge (Un \rightarrow Un)$

$mkSK = \Lambda\alpha.\lambda\_:\text{unit}.$  **let** (s,u) = mkSeal () in

**let** v =  $\lambda n:Un.$   $\lambda m:Any ; Un.$

**if** m = u n **as** z **then** z

**else** failwith "bad signature"

**in** (s, v) :  $\forall\alpha.\text{unit} \rightarrow SK\langle\alpha\rangle$

$mkVK = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.$  snd sk :  $\forall\alpha.SK\langle\alpha\rangle \rightarrow VK\langle\alpha\rangle$

$sign = \Lambda\alpha.\lambda sk:SK\langle\alpha\rangle.\lambda m:\alpha.$  (fst sk) m :  $\forall\alpha.SK\langle\alpha\rangle \rightarrow \alpha \rightarrow Un$

$verify = \Lambda\alpha.\lambda vk:VK\langle\alpha\rangle.$   $\lambda n:Un.$   $\lambda m:Any.$  vk n m

:  $\forall\alpha.VK\langle\alpha\rangle \rightarrow Un \rightarrow Any \rightarrow \alpha$

Union and intersection types allow us to give a more faithful seal-based encoding of asymmetric crypto

# Encoding zero-knowledge proofs

# Very simplified DAA-sign

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad \text{vki} : \text{VK}\langle T_i \rangle$

TPM/User



$f : T_i$   
 $\text{cert} = \text{sign}\langle T_i \rangle \text{ ski } f$   
 $m : \text{Un}$   
**assume**  $\text{Send}(f, m)$

Verifier





# Very simplified DAA-sign

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$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

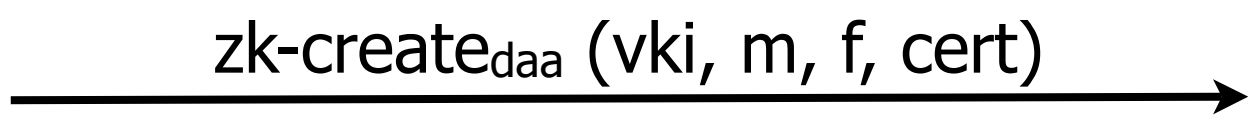
TPM/User



Verifier



$f : T_i$   
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 $m : \text{Un}$   
**assume**  $\text{Send}(f, m)$



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TPM/User



Verifier



$f : T_i$   
 $\text{cert} = \text{sign}\langle T_i \rangle \text{ ski } f$   
 $m : \text{Un}$

**assume**  $\text{Send}(f, m)$   $\xrightarrow{\text{zk-create}_{\text{daa}}(\text{vki}, m, f, \text{cert})}$

ZK proof shows that  
“ $\text{verify}\langle T_i \rangle \text{ vki cert } f$ ” succeeds

# Very simplified DAA-sign

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

TPM/User



Verifier



$f : T_i$   
 $\text{cert} = \text{sign}\langle T_i \rangle \text{ ski } f$   
 $m : \text{Un}$   
**assume**  $\text{Send}(f, m)$

$\text{zk-create}_{\text{daa}}(vki, m, f, \text{cert})$

Without revealing  $f$  or  $\text{cert}$   
(secret witnesses)

ZK proof shows that  
“ $\text{verify}\langle T_i \rangle vki \text{ cert } f$ ” succeeds

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TPM/User



Verifier



$f : T_i$   
 $\text{cert} = \text{sign}\langle T_i \rangle \text{ ski } f$   
 $m : \text{Un}$   
**assume**  $\text{Send}(f, m)$

$\text{zk-create}_{\text{daa}}(vki, m, f, \text{cert})$

Without revealing  $f$  or  $\text{cert}$   
(secret witnesses)

ZK proof shows that  
“ $\text{verify}\langle T_i \rangle vki \text{ cert } f$ ” succeeds

Proof non-malleable,  
authenticity of  $m$  proved by showing  
knowledge of secret  $f$

# Very simplified DAA-sign

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m)$ ;

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\}$       $\text{vki} : \text{VK}\langle T_i \rangle$

TPM/User



Verifier



$f : T_i$   
 $\text{cert} = \text{sign}\langle T_i \rangle \text{ ski } f$   
 $m : \text{Un}$   
**assume**  $\text{Send}(f, m)$

$\text{zk-create}_{\text{daa}}(\text{vki}, m, f, \text{cert})$

Without revealing  $f$  or  $\text{cert}$   
(secret witnesses)

**let**  $(y_2, y_3) = \text{zk-verify}_{\text{daa}} c? \text{vki}$  **in**  
**assert**  $\text{Authenticate}(y_2)$

ZK proof shows that  
“ $\text{verify}\langle T_i \rangle \text{vki cert } f$ ” succeeds

Proof non-malleable,  
authenticity of  $m$  proved by showing  
knowledge of secret  $f$

# High-level specification

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

**zkdef** daa =

matched =  $[y_{vki} : \text{VK}\langle T_i \rangle]$

returned =  $[y_m : \text{Un}]$

secret =  $[x_f : T_i, x_{\text{cert}} : \text{Un}]$

statement =  $[x_f = \text{verify}\langle T_i \rangle y_{vki} x_{\text{cert}} x_f]$

promise =  $[\text{Send}(x_f, y_m)].$

# High-level specification

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

**zkdef** daa =

Public value known to the verifier

matched =  $[y_{vki} : \text{VK}\langle T_i \rangle]$

returned =  $[y_m : \text{Un}]$

secret =  $[x_f : T_i, x_{cert} : \text{Un}]$

statement =  $[x_f = \text{verify}\langle T_i \rangle y_{vki} x_{cert} x_f]$

promise =  $[\text{Send}(x_f, y_m)].$

# High-level specification

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

**zkdef** daa =

matched =  $[y_{vki} :$

Public value not known to the verifier

returned =  $[y_m : \text{Un}]$

secret =  $[x_f : T_i, x_{\text{cert}} : \text{Un}]$

statement =  $[x_f = \text{verify}\langle T_i \rangle y_{vki} x_{\text{cert}} x_f]$

promise =  $[\text{Send}(x_f, y_m)].$



# High-level specification

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

**zkdef** daa =

matched =  $[y_{vki} : \text{VK}\langle T_i \rangle ?]$

returned =  $[y_m : \text{Un}]$

secret =  $[x_f : T_i, x_{cert} : \text{Un}]$

statement =  $[x_f = \text{verify}\langle T_i \rangle y_{vki} x_{cert} x_f]$

promise =  $[\text{Send}(x_f, y_m)].$

Witnesses, never revealed  
(but prover has to know them)

# High-level specification

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

**zkdef** daa =

matched =  $[y_{vki} : \text{VK}\langle T_i \rangle]$

returned =  $[y_m : \text{Un}]$

secret =  $[x_f : T_i, x_{\text{cert}} : \text{Un}]$

statement =  $[x_f = \text{verify}\langle T_i \rangle y_{vki} x_{\text{cert}} x_f]$

promise =  $[\text{Send}(x_f, y_m)]$ .



Statement of the proof  
(positive Boolean formula)

# High-level specification

**assume**  $\forall m. (\exists f. \text{Send}(f,m) \wedge \text{OkTPM}(f)) \Rightarrow \text{Authenticate}(m);$

$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\} \quad vki : \text{VK}\langle T_i \rangle$

**zkdef** daa =

matched =  $[y_{vki} : \text{VK}\langle T_i \rangle]$

returned =  $[y_m : \text{Un}]$

secret =  $[x_f : T_i, x_{\text{cert}} : \text{Un}]$

statement =  $[x_f = \text{verify}\langle T_i \rangle y_{vki} x_{\text{cert}} x_f]$

promise =  $[\text{Send}(x_f, y_m)].$

Logical formula that is conveyed by  
the proof if prover is honest

# Generated implementation

$$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\}$$

$$T_{\text{daa}} = y_{\text{vki}} : \text{VK}\langle T_i \rangle * y_m : \text{Un} * x_f : T_i * x_{\text{cert}} : \{x : \text{Un} \mid \text{Send}(x_f, y_m)\}$$

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$$\text{zk-verify}_{\text{daa}} = \lambda z : \text{Un}. \lambda y_{\text{vki}}' : \text{VK}\langle T_i \rangle; \text{Un}.$$

$$\mathbf{case } w = (\text{snd } k_{\text{daa}}) z : T_{\text{daa}} \vee \text{Un} \mathbf{of}$$

$$\mathbf{let } (y_{\text{vki}}, y_m, x_f, x_{\text{cert}}) = w \mathbf{in}$$

$$\mathbf{if } y_{\text{vki}} = y_{\text{vki}}' \mathbf{as } y_{\text{vki}}'' \mathbf{then}$$

$$\quad \mathbf{if } x_f = \text{verify}\langle T_i \rangle y_{\text{vki}}'' x_{\text{cert}} x_f \mathbf{then } (y_m)$$

$$\quad \mathbf{else failwith "statement not valid"}$$

$$\mathbf{else failwith "y_{vki} does not match"}$$



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$$: \text{Un} \rightarrow ((y_{\text{vki}} : \text{VK}\langle T_i \rangle \rightarrow \{y_m : \text{Un} \mid \exists x_f, x_{\text{cert}}. \text{OkTPM}(x_f) \wedge \text{Send}(x_f, y_m)\}) \wedge (\text{Un} \rightarrow \text{Un}))$$

# Case #1: honest verifier, honest prover

$$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\}$$

$$T_{\text{daa}} = y_{\text{vki}} : \text{VK}\langle T_i \rangle * y_m : \text{Un} * x_f : T_i * x_{\text{cert}} : \{x : \text{Un} \mid \text{Send}(x_f, y_m)\}$$

$$k_{\text{daa}} : \text{Seal}\langle T_{\text{daa}} \vee \text{Un} \rangle$$



zk-create<sub>daa</sub> (vki, m, f, cert)



zk-verify<sub>daa</sub> =

$\lambda z : \text{Un}. \lambda y_{\text{vki}}' : \text{VK}\langle T_i \rangle; \text{Un}.$

**case**  $w = (\text{snd } k_{\text{daa}}) z : T_{\text{daa}} \vee \text{Un}$  **of**

**let**  $(y_{\text{vki}}, y_m, x_f, x_{\text{cert}}) = w$  **in**

**if**  $y_{\text{vki}} = y_{\text{vki}}'$  **as**  $y_{\text{vki}}''$  **then**

**if**  $x_f = \text{verify}\langle T_i \rangle y_{\text{vki}}'' x_{\text{cert}} x_f$  **then**  $(y_m)$

**else** failwith "statement not valid"

**else** failwith " $y_{\text{vki}}$  does not match"

$y_{\text{vki}}' : \text{VK}\langle T_{\text{vki}} \rangle$

$w : T_{\text{daa}}$

Send( $x_f, y_m$ )

$y_{\text{vki}} : \text{VK}\langle T_{\text{vki}} \rangle$

$y_{\text{vki}}'' : \text{VK}\langle T_{\text{vki}} \rangle$

OkTPM( $x_f$ )

$y_m : \text{Un}$

$: \text{Un} \rightarrow ((y_{\text{vki}} : \text{VK}\langle T_i \rangle \rightarrow \{y_m : \text{Un} \mid \exists x_f, x_{\text{cert}}. \text{OkTPM}(x_f) \wedge \text{Send}(x_f, y_m)\}) \wedge (\text{Un} \rightarrow \text{Un}))$

## Case #2: honest verifier, dishonest prover

$$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\}$$

$$T_{\text{daa}} = y_{\text{vki}} : \text{VK}\langle T_i \rangle * y_m : \text{Un} * x_f : T_i * x_{\text{cert}} : \{x : \text{Un} \mid \text{Send}(x_f, y_m)\}$$

$$k_{\text{daa}} : \text{Seal}\langle T_{\text{daa}} \vee \text{Un} \rangle$$



zk-create<sub>daa</sub> junk



zk-verify<sub>daa</sub> =

$\lambda z : \text{Un}. \lambda y_{\text{vki}}' : \text{VK}\langle T_i \rangle; \text{Un}.$

**case**  $w = (\text{snd } k_{\text{daa}}) z : T_{\text{daa}} \vee \text{Un}$  **of**

**let**  $(y_{\text{vki}}, y_m, x_f, x_{\text{cert}}) = w$  **in**

**if**  $y_{\text{vki}} = y_{\text{vki}}'$  **as**  $y_{\text{vki}}''$  **then**

**if**  $x_f = \text{verify}\langle T_i \rangle y_{\text{vki}}'' x_{\text{cert}} x_f$  **then**  $(y_m)$  “ $\text{Un} \cap \text{Private} = \emptyset$ ”;  $(y_m)$  dead code

**else** failwith “statement not valid”

**else** failwith “ $y_{\text{vki}}$  does not match”

$y_{\text{vki}}' : \text{VK}\langle T_{\text{vki}} \rangle$

$w : \text{Un}$

~~$\text{Send}(x_f, y_m)$~~   $x_f : \text{Un}$

$y_{\text{vki}}'' : \text{Un} \wedge \text{VK}\langle T_{\text{vki}} \rangle$

$: \text{Un} \rightarrow ((y_{\text{vki}} : \text{VK}\langle T_i \rangle \rightarrow \{y_m : \text{Un} \mid \exists x_f, x_{\text{cert}}. \text{OkTPM}(x_f) \wedge \text{Send}(x_f, y_m)\}) \wedge (\text{Un} \rightarrow \text{Un}))$

## Cases #3 & #4: dishonest verifier

$$T_i = \{x_f : \text{Private} \mid \text{OkTPM}(x_f)\}$$

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$$k_{\text{daa}} : \text{Seal}\langle T_{\text{daa}} \vee \text{Un} \rangle$$



zk-verify<sub>daa</sub> =

$\lambda z : \text{Un}. \lambda y_{\text{vki}}' : \text{VK}\langle T_i \rangle; \text{Un}.$

**case**  $w = (\text{snd } k_{\text{daa}}) z : T_{\text{daa}} \vee \text{Un}$  **of**

**let**  $(y_{\text{vki}}, y_m, x_f, x_{\text{cert}}) = w$  **in**

**if**  $y_{\text{vki}} = y_{\text{vki}}'$  **as**  $y_{\text{vki}}''$  **then**

**if**  $x_f = \text{verify}\langle T_i \rangle y_{\text{vki}}'' x_{\text{cert}} x_f$  **then**  $(y_m)$

**else** failwith "statement not valid"

**else** failwith " $y_{\text{vki}}$  does not match"

$y_{\text{vki}}' : \text{Un}$

$w : \text{Un}$  (#3)     $w : T_{\text{daa}}$  (#4)

$x_f : \text{Un}$  (#3)     $x_f : T_i$  (#4)

$y_{\text{vki}}'' : \text{Un} \wedge \dots$

$y_m : \text{Un}$

$: \text{Un} \rightarrow ((y_{\text{vki}} : \text{VK}\langle T_i \rangle \rightarrow \{y_m : \text{Un} \mid \exists x_f, x_{\text{cert}}. \text{OkTPM}(x_f) \wedge \text{Send}(x_f, y_m)\}) \wedge (\text{Un} \rightarrow \text{Un}))$

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$$k_{\text{daa}} : \text{Seal}\langle T_{\text{daa}} \vee \text{Un} \rangle$$



not sufficient that  $\text{verify}\langle \alpha \rangle : \text{VK}\langle \alpha \rangle \rightarrow \dots$   
in our library we actually have that  
 $\text{verify}\langle \alpha \rangle : (\text{VK}\langle \alpha \rangle \rightarrow \dots) \wedge \text{Un} \rightarrow \text{Un} \rightarrow \dots \rightarrow \text{Un}$

zk-verify<sub>daa</sub> =

$\lambda z : \text{Un}. \lambda y_{\text{vki}}' : \text{VK}\langle T_i \rangle; \text{Un}.$   
**case**  $w = (\text{snd } k_{\text{daa}}) z : T_{\text{daa}} \vee \text{Un}$  **of**  
**let**  $(y_{\text{vki}}, y_m, x_f, x_{\text{cert}}) = w$  **in**  
**if**  $y_{\text{vki}} = y_{\text{vki}}'$  **as**  $y_{\text{vki}}''$  **then**  
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**else** failwith "y<sub>vki</sub> does not match"

$y_{\text{vki}}' : \text{Un}$   
 $w : \text{Un}$  (#3)     $w : T_{\text{daa}}$  (#4)  
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 $y_{\text{vki}}'' : \text{Un} \wedge \dots$   
 $y_m : \text{Un}$

$$: \text{Un} \rightarrow ((y_{\text{vki}} : \text{VK}\langle T_i \rangle \rightarrow \{y_m : \text{Un} \mid \exists x_f, x_{\text{cert}}. \text{OkTPM}(x_f) \wedge \text{Send}(x_f, y_m)\}) \wedge (\text{Un} \rightarrow \text{Un}))$$

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- Definition:  $T_1$  and  $T_2$  are *disjoint* if  $E \vdash M : T_1$  and  $E \vdash M : T_2$  implies  $E \vdash \text{false}$

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- How to encode a type disjoint from  $\text{Un}$ ?  
(hard since  $\text{Un} \leq \text{Un} \rightarrow \text{Un} \leq \text{Un} * \text{Un} \leq \dots$ )
- $\text{Private} = \{f : \{\text{false}\} \rightarrow \text{Un} \mid \exists x. f = \lambda y. \text{assert false}; x\}$
- We lift this to more complex types  
 $\text{tree}\langle\alpha\rangle = \mu\beta. \alpha + (\alpha * \beta * \beta)$   
 $\text{tree}\langle\text{Private}\rangle$  disjoint from  $\text{tree}\langle\text{Un}\rangle$

$$\frac{\text{Private disjoint Un} \quad \text{Private disjoint Un}}{\text{Private disjoint Un} \quad (\text{Private} * \text{tree}\langle\text{Private}\rangle * \text{tree}\langle\text{Private}\rangle) \text{ disjoint } (\text{Un} * \text{tree}\langle\text{Un}\rangle * \text{tree}\langle\text{Un}\rangle)}$$

$$\frac{\text{Private} + (\text{Private} * \text{tree}\langle\text{Private}\rangle * \text{tree}\langle\text{Private}\rangle) \text{ disjoint Un} + (\text{Un} * \text{tree}\langle\text{Un}\rangle * \text{tree}\langle\text{Un}\rangle)}{\mu\beta. \text{Private} + (\text{Private} * \beta * \beta) \text{ disjoint } \mu\beta. \text{Un} + (\text{Un} * \beta * \beta)}$$

# Soundness

# Calculus

- Surface calculus ( $\text{RCF}_{\wedge \vee}^{\forall}$ )
  - Church-style (intrinsically typed)
  - informal (alpha-renaming convention)
  - named  $\rightarrow$  human-readable
  - used by our type-checker, in the paper, on slides, etc.
  - operational semantics only by erasure into  $\text{Formal-RCF}_{\wedge \vee}^{\forall}$

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- Formal calculus ( $\text{Formal-RCF}^{\forall}_{\wedge\vee}$ )
  - Curry-style (extrinsically typed like original RCF, very similar semantics)
  - formalized using Coq proof assistant
  - locally nameless representation (de Bruijn for bound variables)
  - machine-checked soundness proof (well-typed programs are robustly safe)

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    - machine-checked soundness proof (well-typed programs are robustly safe)
- ✦ Adequacy: well-typed in  $\text{RCF}_{\wedge\vee}^{\forall} \Rightarrow$  erasure well-typed in  $\text{Formal-RCF}_{\wedge\vee}^{\forall}$

# $\text{RCF}_{\wedge\vee}^{\forall}$ : intersection introduction

- Because of type annotations following rule not enough

$$\frac{E \vdash M : T_1 \quad E \vdash M : T_2}{E \vdash M : T_1 \wedge T_2}$$

e.g.  $(\text{Private} \rightarrow \text{Private}) \wedge (\text{Un} \rightarrow \text{Un})$

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- $\lambda x:T_1; T_2. M$  [Reynolds '86, '96]
  - $(\lambda x:\text{Private}; \text{Un}. x) : (\text{Private} \rightarrow \text{Private}) \wedge (\text{Un} \rightarrow \text{Un})$
  - can't write terms of type  $(T_1 \rightarrow T_1 \rightarrow U_1) \wedge (T_2 \rightarrow T_2 \rightarrow U_2)$ 
    - you can use uncurried version  $(T_1 \times T_1 \rightarrow U_1) \wedge (T_2 \times T_2 \rightarrow U_2)$  but then no partial application



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- Type alternation: for  $\alpha$  in  $T; U$  do  $M$  [Pierce, MSCS '97]
  - More general  $(\lambda x:T_1; T_2. M = \text{for } \alpha \text{ in } T_1; T_2 \text{ do } \lambda x:\alpha. M)$
  - $\text{for } \alpha \text{ in } T_1; T_2 \text{ do } \lambda x:\alpha. \lambda x:\alpha. M : (T_1 \rightarrow T_1 \rightarrow U_1) \wedge (T_2 \rightarrow T_2 \rightarrow U_2)$

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- polymorphism, intersections, unions vs. side-effects (known)

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Type alternation

$$\frac{E \vdash M\{T_i/\alpha\} : T \quad i \in 1,2}{E \vdash \text{for } \alpha \text{ in } T_1; T_2 \text{ do } M : T}$$

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$$\frac{\frac{\vdash M\{T_1/\alpha\} : T \quad \vdash M\{T_1/\alpha\} = M\{T_1/\alpha\}}{\vdash M\{T_1/\alpha\} : \{x:T \mid x=M\{T_1/\alpha\}\}}}{\vdash \text{for } \alpha \text{ in } T_1; T_2 \text{ do } M : \{x:T \mid x=M\{T_1/\alpha\}\}}$$

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- This can only possibly work if  $(\text{for } \alpha \text{ in } T_1; T_2 \text{ do } M) = M\{T_1/\alpha\}$  (both operationally and in the authorization logic)

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$$\frac{\frac{\vdash M\{T_1/\alpha\} : T \quad \vdash M\{T_1/\alpha\} = M\{T_1/\alpha\}}{\vdash M\{T_1/\alpha\} : \{x:T \mid x=M\{T_1/\alpha\}\}}}{\vdash \text{for } \alpha \text{ in } T_1; T_2 \text{ do } M : \{x:T \mid x=M\{T_1/\alpha\}\}}$$

- This can only possibly work if  $(\text{for } \alpha \text{ in } T_1; T_2 \text{ do } M) = M\{T_1/\alpha\}$  (both operationally and in the authorization logic)
- Fors and type annotations **need** to be erased away
 
$$\lfloor \text{for } \alpha \text{ in } T_1; T_2 \text{ do } M \rfloor = \lfloor M \rfloor$$

# Formalization

- 14k+LOC of Coq, 6+ months of work (Coq beginner)
  - 1.5+kLOC of definitions, most generated from **Ott** spec + quite big patch [Sewell, Nardelli, Owens, Peskine, Ridge, Sarkar & Strnisa, JFP '10]
  - 12+kLOC Software-Foundations-style proofs with very little automation
  - ✦ 25kLOC of “infrastructure” lemmas generated by wonderful **LNgen** tool [Aydemir & Weirich, Draft '10]
- Reasonably complete
  - One notable exception: transitivity of subtyping - paper proof goes by induction on the size of derivations, very informal
- Found+fixed 3 relatively small bugs in previous proofs
  - Public Down / Tainted Up, Robust Safety, Strengthening (claim weakened)
- Will be open sourced, once polished

# Counterintuitive inversion lemmas

- Intuitively, types are sets of values
  - $\{x : T \mid C\}$  intuitively contains the values of  $T$  that satisfy  $C$
  - $T_1 \wedge T_2$  intuitively contains the values that are in  $T_1$  and in  $T_2$
  - intuitively subtyping is (somehow related with) set inclusion
  - but with syntactic subtyping this intuition is dead wrong(!)

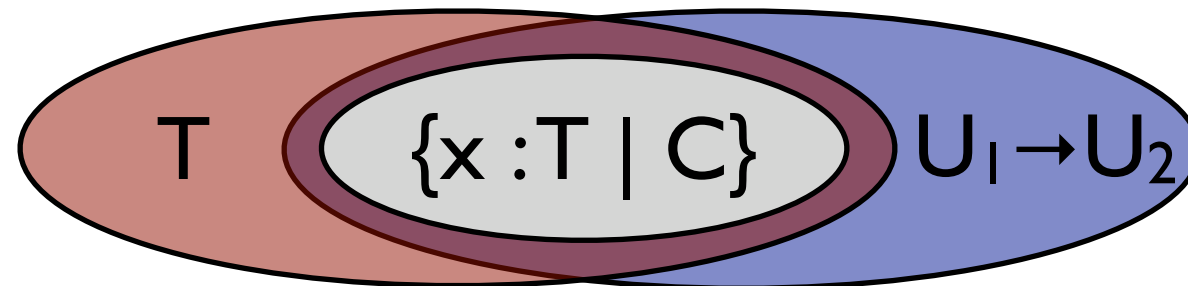


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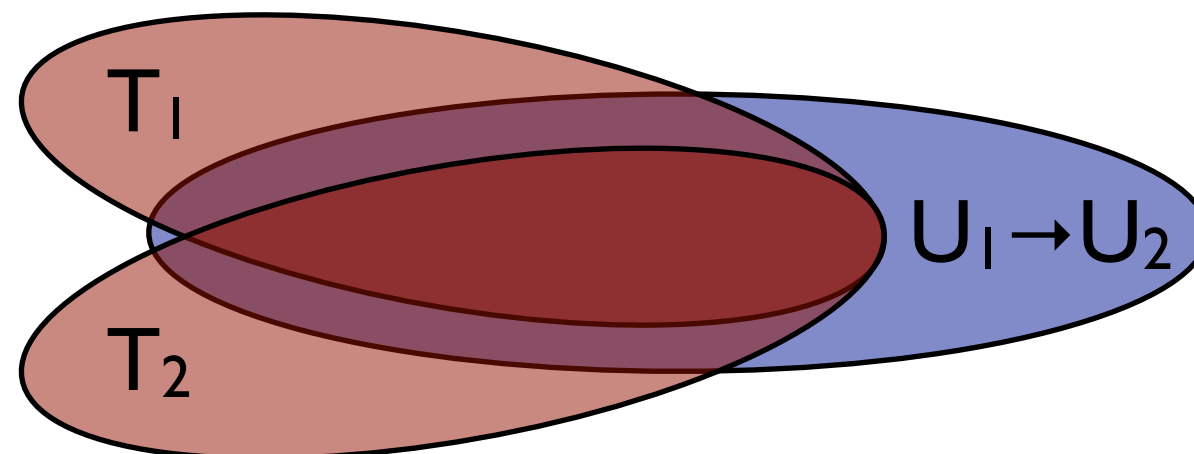
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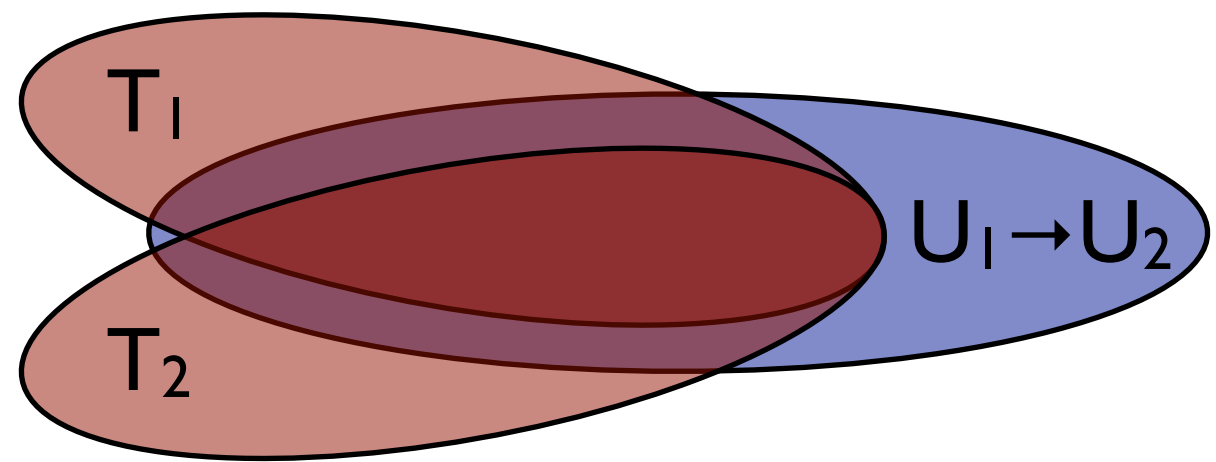
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# Counterintuitive inversion lemmas

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  - $\{x : T \mid C\}$  intuitively contains the values of  $T$  that satisfy  $C$
  - $T_1 \wedge T_2$  intuitively contains the values that are in  $T_1$  and in  $T_2$
  - intuitively subtyping is (semantic) set inclusion
  - **but with syntactic** **wrong(!)**
- Lemma: If  $E \vdash \{x : T\} \leq U_1 \rightarrow U_2$  then  $E \vdash T \leq U_1 \rightarrow U_2$
- Lemma: If  $E \vdash T_1 \wedge T_2 \leq U_1 \rightarrow U_2$  then  $E \vdash T_1 \leq U_1 \rightarrow U_2$  or  $E \vdash T_2 \leq U_1 \rightarrow U_2$

Calling them intersection types is just deceiving!  
How about GLB types?



# Counterintuitive inversion lemmas

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 $E \vdash T_1 <: U_1 \rightarrow U_2$  or  $E \vdash T_2 <: U_1 \rightarrow U_2$
- Still, such inversion lemmas are **crucial** to our proofs

# Implementation

(Kudos to Thorsten Tarrach)

## F5: tool-chain for $\text{RCF}_{\wedge\vee}^{\forall}$

- Type-checker for  $\text{RCF}_{\wedge\vee}^{\forall}$ 
  - Extended syntax: simple modules, ADTs, recursive functions, typedefs, mutable references (all encoded into  $\text{RCF}_{\wedge\vee}^{\forall}$ )
  - Very limited type inference: some polymorphic instantiations
  - (Partial) type derivation can be inspected in visualizer
  - Can use SMT solvers (Z3) or FOL provers (e prover)
  - Efficient (especially with Z3)  
type-checks 1500+LOC in ~12 seconds on normal laptop
- Automatic code generator for zero-knowledge
- Interpreter + visual debugger
- ~5000LOC, first release coming soon (open source)

# Screenshots

The screenshot displays the F5 Visual Debugger interface, which is divided into several panels:

- Remaining Expression:** Contains a block of OCaml code:

```
let sigA = mkSK<msgtype> () in
let th1 = mkVK<msgtype> sigA in
c2!th1;
c!th1;
let m = mkUn () in
(
assume (authentic(m))
)r(
let th2 = (m:msgtype) in
let th3 = let __temp20 = sign sigA in
__temp20 th2 in
cm!(th3,th2)
)
```
- Threads:** Shows a tree view of threads and their stacks:
  - Thread1
    - Stack5
    - Stack4
    - Stack3
    - Stack2
    - Stack1
  - Thread2
    - Stack1
- Environment:** A table listing variables and their values:

Name	Value
cm	Chan: Channel4
c2	Chan: Channel3
c	Chan: Channel2
check	fun rec vk -> fun (z:unit) -> let (s,ve
sign	fun rec sk -> fun (y:'a) -> let (s,__ter
mkVK	fun rec xsk -> let (xs,__temp17) = xs
mkSK	fun rec u -> mkSealSig<'a> ()
mkSealSig	fun rec n -> let s = pi_name str_a in
unsealSig	fun rec s -> fun (sref:sealref<'a>) -
- Channels:** A list of channels: Channel1, Channel2, Channel3, Channel4.
- Value:** A table showing the current value:

Value
fold inl ()
- Buttons:** Three buttons are visible on the right side: Step [F11], Step over [F10], and Run [F5].



# Screenshots

The screenshot displays two windows from the F5 Visual Debugger. The foreground window is the 'F5 Type Derivation Viewer', which shows a tree view of type derivation steps for a file named 'pk-enc-handshake.rcf'. The tree starts with 'Main: Parsing...' and 'Main: Start typing main protocol'. It then details the derivation of several expressions, including 'let ka = let x\_temp1 = mkDK <ta> in...', 'let kb = let x\_temp2 = mkDK <tb> in...', and 'let pka = let x\_temp4 = mkEK <ta> in...'. The expression 'let x\_temp4 = mkEK <ta> in...' is highlighted in blue. Below the tree, there are two panels: 'Details' and 'Result Details'. The 'Details' panel shows the current step: 'Trying to type expr: let x\_temp4 = mkEK <ta> in x\_temp4 ka'. The 'Result Details' panel shows the typing result: '{xek:iek<ta>|ekdkpair(xek,ka)}'. The background window is the 'F5 Visual Debugger'.

F5 Visual Debugger

F5 Type Derivation Viewer

Type Derivation C:\Users\hritcu\projects\rcf\Samples\Examples\pk-enc-handshake.rcf  Use Z3  Use EProver

- ▶ Main: Parsing...
- ▲ Main: Start typing main protocol
  - ▲ Expr: let ka = let x\_temp1 = mkDK <ta> in...
    - ▶ Expr: let x\_temp1 = mkDK <ta> in...
  - ▲ Expr: let kb = let x\_temp2 = mkDK <tb> in...
    - ▲ Expr: let x\_temp2 = mkDK <tb> in...
      - ▶ Expr: mkDK <tb>
      - ▲ Expr: x\_temp2 ()
        - Value: ()
        - Value: x\_temp2
        - Subtyping: Trying to subtype unit <: unit
  - ▲ Expr: let pkb = let x\_temp3 = mkEK <tb> in...
    - ▶ Expr: let x\_temp3 = mkEK <tb> in...
    - ▲ Expr: let pka = let x\_temp4 = mkEK <ta> in...
      - ▶ Expr: let x\_temp4 = mkEK <ta> in...
      - ▲ Expr: (v c:unit)...
        - ▲ Expr: (...
          - ▶ Expr: let n = mkPriv () in...
          - ▶ Expr: let x = c? in...

# Screenshots

The screenshot displays the F5 Visual Debugger interface, with the F5 Type Derivation Viewer window in the foreground. The viewer shows a type derivation tree for the expression `!Expr: let z = c? in...`. The tree structure is as follows:

- Expr: `!Expr: let z = c? in...`
  - Expr: `c!`
  - Expr: `let z = c? in...`
    - Expr: `c?`
    - Expr: `let x = let x_temp7 = decrypt <ta> in...`
      - Expr: `let x_temp7 = decrypt <ta> in...`
      - Expr: `case y = (x:tap) of...`
        - Value: `(x:(ta ∨ (unit*unit)))`
        - Expr: `let (y1,y2) = y in...`
        - Expr: `let (y1,y2) = y in...`
          - Value: `y`
          - Expr: `assert (authentic(y2))`
            - Types: `Deriving formula authentic(y2)`
            - Formula: `Proving authentic(y2)`

The 'Details' pane at the bottom left lists several axioms:

- `fof(injpair,axiom, ![A,B,X,Y]:(pair(A,B)=pair(X,Y) => (A=X & B=Y))).`
- `fof(injinl,axiom, ![A,B]:(inl(A)=inl(B) => (A=B))).`
- `fof(injinvr,axiom, ![A,B]:(inr(A)=inr(B) => (A=B))).`
- `fof(injfold,axiom, ![A,B]:(fold(A)=fold(B) => (A=B))).`
- `fof(distinct1, axiom, ![A,B]: inl(A) != inr(B)).`
- `fof(distinct2, axiom, ![A,B]: fold(A) != inr(B)).`
- `fof(distinct3, axiom, ![A,B]: inl(A) != fold(B)).`
- `fof(distinct4, axiom, ![A,B,C]: pair(A,B) != inl(C)).`
- `fof(distinct5, axiom, ![A,B,C]: pair(A,B) != inr(C)).`

The 'Result Details' pane at the bottom right shows the message: `Interrupted! # Garbage collection reclaimed 2 unused term cells.`

# Random thoughts for the future



- Bigger case studies (already started with Civitas)
- Study type inference, maybe in restricted setting
  - Our type-checker is efficient for a good reason
- Study relation to F7v2
- Semantic subtyping for RCF ... is it possible?  $\lambda + \{x:T|C\}$
- Develop semantic model for RCF /  $\text{RCF}_{\wedge\vee}^{\forall}$
- Study methods for establishing observational equivalence in RCF /  $\text{RCF}_{\wedge\vee}^{\forall}$  (logical relations, bisimulations, etc.)
- Automatically generate zero-knowledge proof system corresponding to abstract statement specification (concrete crypto -- efficiency big challenge)

**Thank you!**

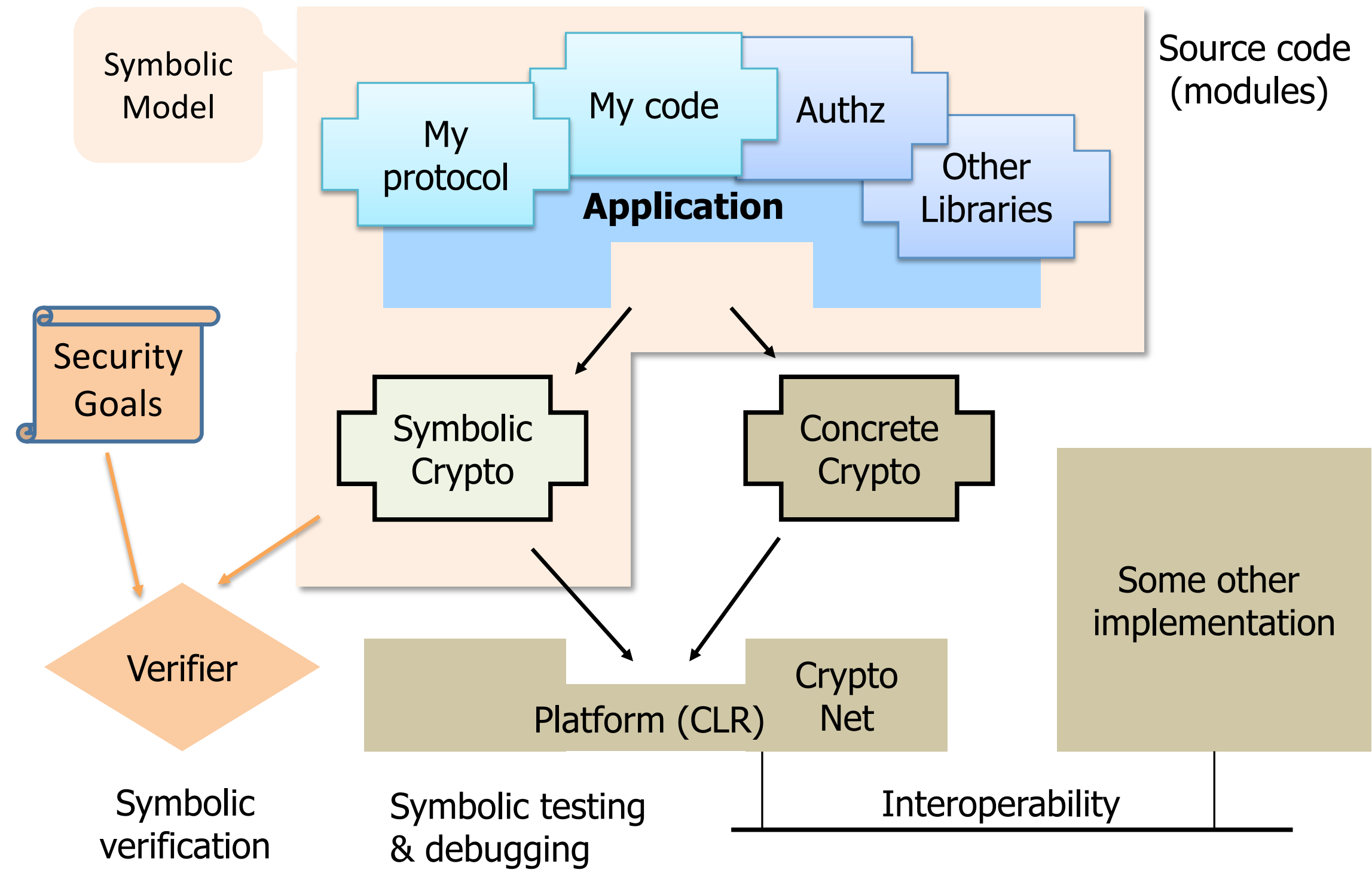
# Analyzing protocols

- Analyzing protocol **models**: successful research field
  - **modelling languages**:  
strand spaces, CSP, spi calculus, applied- $\pi$ , PCL, etc.
  - **security properties**:  
from secrecy & authenticity all the way to coercion-resistance
  - **automated analysis tools**:  
Casper, AVISPA, ProVerif, Cryptyc & other type-checkers, etc.
  - **found bugs in deployed protocols**  
SSL, PKCS, Microsoft Passport, Kerberos, Plutus, etc.
  - **proved industrial protocols secure**  
EKE, JFK, TLS, DAA, etc.

# Abstract models vs. actual code

- Still, only limited impact in practice!
- Researchers prove properties of abstract models
- Developers write and execute actual code
- Usually no relation between the two
  - Even if correspondence was proved, model and code will drift apart as the code evolves
- Most often the only “model” is the code itself
  - **The good news:** when given a proper semantics the security of code can be analyzed as well

# F7 (& fs2pv) tool-chain



# Case studies (work in progress)

1. A new implementation of the complete DAA protocol
2. Automatically generated implementations of automatically strengthened protocols
  - “Achieving security despite compromise using zero-knowledge”  
[Backes, Grochulla, Hritcu & Maffei, CSF '09]
3. Civitas electronic voting system  
[Clarkson, Chong & Myers, SSP '08]
  - Work in progress (Matteo Maffei & Fabienne Eigner)
  - Other complex primitives: distributed encryption with re-encryption and plaintext equivalence testing (PET)